

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Atty. Docket: POU920030134US1
John K. ALEX et al. :
Serial No. Not Yet Assigned : Applications Branch
Filed: HEREWITH :
For: *POLICY DRIVEN AUTONOMIC COMPUTING - PROGRAMMATIC POLICY DEFINITIONS*

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that other art than that identified exists; nor that the information provided is prior art; nor that a search has been made.

This IDS is submitted under:

- ☒ 37 CFR 1.97(b) - No Fee.
☐ 37 CFR 1.97(c) - No Fee, with Certification.
☐ 37 CFR 1.97(c) - Fee.
☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. **09-0463**.

Respectfully submitted,

Date: _____

By: _____

Jose Gutman
Registration No. 35,171

FLEIT, KAIN, GIBBONS, GUTMAN, BONGINI & BIANCO P.L.
551 NW 77th Street
Suite 111
Boca Raton, Florida 33487
Telephone: (561) 989-9811
Facsimile : (561) 989-9812

"List of Documents

Cited by Applicant

(Use several sheets if necessary)

Applicant: John K. ALEX et al.

Filing Date: HEREWITH

U.S. PATENT DOCUMENTS

| Ex'rs In'l | | Document Number | Date | Name | Class | Sub- class | Filing Date, if applicable |
|---------------|-----|--------------------|----------------|-------------------|-------|---------------|-------------------------------|
| | AA1 | 5,204,940 | April 20, 1993 | Kitagawa et al. | | | |
| | AA2 | 5,784,702 | July 21, 1998 | Greenstein et al. | | | |
| | AA3 | 5,893,083 | April 6, 1999 | Eshghi et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub- class | Transl'n Yes/No |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.